

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>H01S 5/183, 5/14, 3/094, 3/109, 3/108</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/25399</b> <b>(43) International Publication Date:</b> 4 May 2000 (04.05.00)
<b>(21) International Application Number:</b> PCT/US99/22960 <b>(22) International Filing Date:</b> 30 September 1999 (30.09.99) <b>(30) Priority Data:</b> 09/179,022      26 October 1998 (26.10.98)      US <b>(71) Applicant:</b> COHERENT, INC. [US/US]; 5100 Patrick Henry Drive, Santa Clara, CA 95056 (US). <b>(72) Inventors:</b> CAPRARA, Andrea; Apartment 2, 775 Menlo Avenue, Menlo Park, CA 94025 (US). CHILLA, Juan, L.; 330 North Mathilda Avenue, #801, Sunnyvale, CA 94086 (US). SPINELLI, Luis, A.; 545 C. East McKinley, Sunnyvale, CA 94086 (US). <b>(74) Agents:</b> STALLMAN, Michael, A. et al.; Limbach & Limbach L.L.P., 2001 Ferry Building, San Francisco, CA 94111 (US).		<b>(81) Designated States:</b> JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> INTRACAVITY FREQUENCY-CONVERTED OPTICALLY-PUMPED SEMICONDUCTOR LASER		
<b>(57) Abstract</b>		
<p>An intracavity, frequency-doubled, external-cavity, optically-pumped semiconductor laser in accordance with the present invention includes a monolithic surface-emitting semiconductor layer structure including a Bragg mirror portion and a gain portion. An external mirror and the Bragg-mirror portion define a laser resonant-cavity including the gain-portion of the semiconductor layer structure. A birefringent filter is located in the resonant-cavity for selecting a frequency of the laser-radiation within a gain bandwidth characteristic of semiconductor structure. An optically-nonlinear crystal is located in the resonant-cavity between the birefringent filter and the external mirror and arranged to double the selected frequency of laser-radiation.</p>		

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 00/25399

PCT/US99/22960

INTRACAVITY FREQUENCY-CONVERTED  
OPTICALLY-PUMPED SEMICONDUCTOR LASER

5 TECHNICAL FIELD OF THE INVENTION

The present invention relates in general to external-cavity semiconductor lasers. It relates in particular to external-cavity, intracavity (IC) frequency-converted, surface-emitting semiconductor  
10 lasers, such as frequency- doubled lasers.

DISCUSSION OF BACKGROUND ART

Intracavity doubled semiconductor lasers are known in the prior art in two classes. One class is based on edge-emitting semiconductor lasers (diode-  
15 lasers), the other on vertical-cavity surface-emitting lasers (VCSEL), electrically-energized. In each class, in order to conveniently effect intracavity doubling, the resonant-cavity of the semiconductor laser must be extended beyond the  
20 semiconductor device, leaving free space in which an optically-nonlinear crystal can be located to effect frequency-doubling. This is usually effected by providing an antireflection coating on the emitting surface of the semiconductor laser (which would  
25 otherwise serve as an output coupling mirror) and by providing an external-mirror, spaced apart from that surface, to serve the same purpose. Such an

WO 00/25399

PCT/US99/22960

2

arrangement is usually referred to as an external-cavity semiconductor laser.

5 The efficiency of frequency-conversion in an optically-nonlinear crystal is directly proportional to power (intensity) of the fundamental frequency incident on the crystal. This is the case whether conversion is doubling to a second harmonic frequency, frequency mixing to provide third or higher odd harmonic frequencies, or optical  
10 parametric oscillation (OPO). Because of this, for example, for a useful IC-doubling, a high power (about 200 milliwatts (mW) or greater) semiconductor laser is essentially a prerequisite. Unfortunately, in both classes of semiconductor laser which have  
15 been used in the prior-art for this purpose, increasing power comes at the expense of decreasing beam-quality.

An edge-emitting semiconductor laser, for example, is inherently a high-gain device, as laser  
20 light resonates in the plane of the layers forming its active or gain region. As the height (thickness) of these gain-region layers is constrained by electrical confinement and optical confinement considerations, output power must be  
25 increased by increasing the width of the gain-region. As the width of the gain-region is increased (to as much as one-hundred times its height in high-power devices), more modes can oscillate, and the output beam becomes highly  
30 astigmatic. Accordingly, design of an adequate resonator, for coupling light into an optically-nonlinear crystal therein, as well as for general beam-quality, becomes increasingly more difficult, if not impossible.

WO 00/25399

PCT/US99/22960

3

5 A VCSEL is inherently a relatively low gain device, as laser-radiation resonates perpendicular to the plane of the layers forming its active or gain-region. For a relatively small beam diameter, for example about 5 micrometer ( $\mu\text{m}$ ) or less, single-mode operation and high beam-quality can be achieved. Gain and output power can be improved in part by increasing the number of active layers in the gain medium. This is somewhat limited by  
10 considerations of the properties of materials forming the semiconductor structure. For a further increase in power, however, the area of the emitting surface must be increased. Increasing the emitting surface area to a diameter greater than about 5  $\mu\text{m}$  inevitably leads, initially, to multimode operation. Further increasing the diameter leads to problems in energizing the laser, as electrical pumping must be supplied laterally. In order to do this uniformly and efficiently, the electrical resistance of  
20 semiconductor layers forming the laser must be increased by increased doping. Increased doping, however, reduces the light transmission of the layers and increases resonator loss, such that the purpose of increased doping quickly becomes self-defeating.  
25

There is a need for an intracavity frequency-converted external-cavity semiconductor laser that can provide high, frequency-converted output power together with high beam-quality.

30

#### SUMMARY OF THE INVENTION

Shortcomings of prior art IC-doubled external-cavity semiconductor laser are overcome in one aspect of the present invention by using a vertical surface

WO 00/25399

PCT/US99/22960

4

emitting laser in a manner which takes advantage of its inherent good beam-quality, and optically, rather than electrically pumping the laser to deliver high pump power into a small beam diameter thereby providing high fundamental power and correspondingly high frequency-doubled power without sacrificing that beam-quality.

In one preferred embodiment of a vertical cavity semiconductor laser in accordance with the present invention, comprising an epitaxially-grown monolithic semiconductor multilayer structure includes a Bragg-mirror portion and a gain-portion including a plurality of active layers spaced-apart by spacer layers. An external mirror, separated from the semiconductor multilayer structure, is arranged such that it defines a laser resonant-cavity with the Bragg-mirror portion of the monolithic semiconductor multilayer. The laser resonant-cavity includes the gain-portion of the monolithic semiconductor multilayer.

A pump-radiation source is arranged to deliver pump-radiation to the gain-portion of the monolithic semiconductor multilayer structure for generating laser-radiation in the laser resonant-cavity. A frequency-selective (wavelength-selective) element such as a birefringent filter (BRF), an etalon or a dispersive prism is located in the laser resonant-cavity for selecting a frequency (wavelength) of the laser-radiation within a gain bandwidth characteristic of the composition of the gain-portion of the monolithic semiconductor multilayer structure. An optically-nonlinear crystal is located in the resonant-cavity between the birefringent filter and the external mirror and

WO 00/25399

PCT/US99/22960

5

arranged to convert the selected frequency of laser-radiation to a desired converted frequency.

5 The above described embodiment is preferred for providing single-mode operation for the inventive laser. The wavelength selective element, however, may be omitted to provide for multimode operation. Alternatively, the optically-nonlinear crystal may be omitted to provide a single-mode external-cavity optically-pumped surface-emitting semiconductor  
10 laser operating at a fundamental wavelength within the gain-bandwidth of the semiconductor layer structure and selected by the wavelength selective element.

#### BRIEF DESCRIPTION OF THE DRAWINGS

15 The accompanying drawings, which are incorporated in and constitute a part of the specification, schematically illustrate a preferred embodiment of the present invention, and together with the general description given above and the detailed description  
20 of the preferred embodiment given below, serve to explain the principles of the invention.

FIG. 1 schematically illustrates one preferred embodiment of an optically-pumped, external-cavity surface-emitting semiconductor laser in accordance  
25 with the present invention, having an external resonant-cavity including an optically-nonlinear crystal arranged for frequency doubling.

FIG. 2 schematically illustrates another preferred embodiment of an optically-pumped,

WO 00/25399

PCT/US99/22960

6

external-cavity surface-emitting semiconductor laser  
in accordance with the present  
invention having an external resonant-cavity  
including an optically-nonlinear crystal arranged  
5 for frequency doubling.

FIG. 3 schematically illustrates yet another  
preferred embodiment of an optically-pumped,  
external-cavity surface-emitting semiconductor laser  
in accordance with the present invention having an  
10 external resonant-cavity including an optically-  
nonlinear crystal arranged for frequency doubling.

FIG. 4 schematically illustrates still another  
preferred embodiment of an optically-pumped,  
external-cavity surface-emitting semiconductor laser  
15 in accordance with the present invention having an  
external resonant-cavity including an optically-  
nonlinear crystal arranged for non-collinearly  
pumped optical parametric oscillation.

FIG. 5 schematically illustrates a further  
20 preferred embodiment of an optically-pumped,  
external-cavity surface-emitting semiconductor laser  
in accordance with the present invention having an  
external resonant-cavity including an optically-  
nonlinear crystal arranged for collinearly pumped  
25 optical parametric oscillation.

#### DETAILED DESCRIPTION OF THE INVENTION

Turning now to the drawings, wherein like components  
are designated by like reference numerals, FIG. 1  
depicts one preferred embodiment 10 of an optically-  
30 pumped IC-doubled vertical cavity laser in



WO 00/25399

PCT/US99/22960

7

accordance with the present invention. Laser 10 includes an epitaxially-grown monolithic semiconductor (surface-emitting) multilayer structure 12 including a Bragg-mirror portion 14, and a gain portion 16 including a plurality of active layers (not shown) spaced apart by spacer-layers (not shown). It should be noted here that the term spacer-layers in the context of this description and the appended claims applies to one or more layers separating the active layers. At least one such layer absorbs optical pump-radiation. Depending on the composition of that layer one or more other layers may be included for strain compensation. Such arrangements are well known in the semiconductor laser art, and any such arrangement is applicable in the context of the present invention. A detailed description of such arrangements is not necessary for understanding principles of the present invention, and, accordingly is not presented herein.

Monolithic semiconductor multilayer structure 12 is bonded to a substrate or heat-sink 18. Monolithic semiconductor multilayer structure 12 may optionally include an antireflection coating (not shown) on an outermost surface (the emitting surface) of gain region 16.

An external mirror 20 and a fold mirror 22 are arranged such that external mirror 20 and Bragg-mirror portion 14 of monolithic semiconductor multilayer structure 12 define laser resonant-cavity 23. Gain-portion 16 of monolithic semiconductor multilayer 12 is thereby incorporated in laser resonant-cavity 23.

A pump-radiation source 24 is arranged to

WO 00/25399

PCT/US99/22960

8

deliver pump-radiation to gain-portion 16 of monolithic semiconductor multilayer structure 12, via the emitting surface thereof, for generating laser-radiation in laser resonant-cavity 23.

5 Fundamental radiation so generated circulates in laser resonant-cavity 23 along the (here, folded) resonator axis 26, as indicated by single arrowheads. Pump-radiation source 24 is preferably an edge-emitting semiconductor diode-laser 28 or an  
10 array of such lasers. Other pump light sources coherent or non-coherent may be used without departing from the spirit and scope of the present invention. For simplicity, pump-radiation 29 from diode-laser 28 is depicted in FIG. 1 as a divergent  
15 beam impinging directly on a focussing lens 30 to be focussed onto gain portion 16 of monolithic semiconductor multilayer 12. An advantage of the configuration of laser 10 is that pump-radiation can be delivered to gain portion 16 of semiconductor  
20 layer structure 12 without traversing any other resonant-cavity component. Another advantage of the configuration of laser 10 is that one or more additional pump-radiation sources may be deployed to direct additional pump-radiation onto gain portion  
25 16 of monolithic semiconductor multilayer 12, as indicated in FIG. 1 by arrows 29A.

Lens 30 is illustrated, for simplicity, in FIG. 1 as a single positive element. Those skilled in the art will recognize that lens 30 may include two  
30 or more elements, and will recognize also that one or more cylindrical or anamorphic elements may be required to compensate for inherent astigmatism in beam 29. Those skilled in the art will further recognize, without further illustration, that light

WO 00/25399

PCT/US99/22960

9

from diode-laser 28 may be collected and transported to lens 30 by an optical waveguide or optical-fiber array.

5 An optically-nonlinear crystal 32 is located in laser resonant-cavity 23 and arranged to double a predetermined frequency of fundamental laser-radiation selected from a spectrum of such frequencies defined by a gain-bandwidth. The frequency-doubled radiation circulates only in arm 10 23A of laser resonant-cavity 23 as indicated by double arrowheads. The gain-bandwidth is characteristic of the composition of gain region 16 of monolithic semiconductor multilayer 12. Frequency-doubled radiation is extracted from laser 15 resonant-cavity 23 via fold mirror 22, which is coated for high reflectivity at the fundamental wavelength and high transmission at the second harmonic (frequency-doubled) wavelength.

20 A birefringent filter 34 is located in arm 23B of laser resonant-cavity 23 for selecting the predetermined frequency of the laser-radiation. A frequency (wavelength) selective element such as birefringent filter 34, an uncoated etalon, or a dispersive prism is advantageous in the inventive 25 laser, inter alia, for two reasons.

On one hand, variations in composition of gain region 16 of monolithic semiconductor multilayer 12, due to control tolerances in manufacturing, can be expected to provide a corresponding variation of 30 fundamental frequency. Typically, this variation will not exceed the gain- bandwidth. Accordingly, birefringent filter 34 ensures that fundamental laser-radiation always has the same frequency, despite manufacturing variations in the

WO 00/25399

PCT/US99/22960

10

semiconductor multilayer structure. This is advantageous in itself for reasons of manufacturing quality and consistency in an optically-pumped, external-cavity semiconductor laser, whether or not the laser is intracavity-doubled.

On the other hand, an optically-nonlinear crystal is typically arranged to frequency-double one particular frequency at any instant. As the doubling process constitutes a loss in the laser resonant-cavity, given a gain medium of sufficient gain bandwidth, the resonator will attempt to oscillate at a frequency other than the frequency to be doubled (so-called "wavelength hopping") in order to avoid the loss. The result of this is uncontrolled modulation or noise, if not outright loss of frequency-doubled output. Inclusion of birefringent filter 34 forces laser resonant-cavity 23 to resonate only at the selected frequency to be doubled, thereby forcing frequency-doubling and eliminating noise due to wavelength- hopping.

Optical pumping allows high pump-power to be delivered into a relatively small beam diameter on gain portion 16 of monolithic semiconductor multilayer 12. In this case, given a suitable stable resonator configuration for laser resonant-cavity 23 the resonator will inherently operate in a single-mode. One such resonant-cavity is discussed in detail further hereinbelow. Single-mode operation not only provides high beam-quality, but precludes output-noise phenomena characteristic of uncontrolled multimode operation such as mode-coupling, and sum-frequency generation in optically-nonlinear crystal 32. Accordingly, single-mode

WO 00/25399

PCT/US99/22960

11

operation at high pump-power combined with elimination of wavelength-hopping by BRF 34 assures that high-power, low-noise, frequency-doubled output is available with high beam-quality.

5           In one preferred example of an IC-doubled optically-pumped semiconductor laser in accordance with the present invention, semiconductor multilayer structure 12 includes a Bragg-mirror portion 14 formed from alternating layers of gallium arsenide (GaAs) and aluminum arsenide (AlAs), and a gain  
10           portion 16 including fifteen active layers of indium gallium arsenide (InGaAs), spaced apart by spacer layers of indium gallium arsenide phosphide (InGaAsP). The active layer composition provides  
15           fundamental laser-radiation having an output spectrum nominally centered about a wavelength of about 976 nm. Pump light source 24 delivers about 1.0 Watt (W) of pump power at a wavelength of about 808 nm to gain portion 16 of semiconductor  
20           multilayer structure 12. Birefringent filter 34 is arranged to select fundamental radiation of 976 nm. Optically- nonlinear crystal 32 is an LBO (lithium tri-borate  $\text{LiB}_3\text{O}_5$ ) crystal 5.0 mm long and is arranged for type-I phase matching. It should be  
25           noted here that while LBO is a preferred optically-linear crystal that any other optically-nonlinear crystal, for example, potassium niobate ( $\text{KNbO}_3$ ) or potassium titanyl phosphate (KTP) may be used without departing from the spirit and scope of the  
30           present invention.

External mirror 20 is a plane mirror, coated for high reflectivity at the fundamental wavelength and half the fundamental (the harmonic) wavelength. Fold mirror 22 has a radius of curvature of 25.0 mm

WO 00/25399

PCT/US99/22960

12

and is located at about 18 mm from external mirror 20. Fold mirror 22 is coated for high reflectivity at the fundamental wavelength and high transmission at the harmonic wavelength. Semiconductor multilayer structure 12 is located at about 26 mm from fold mirror 22. This resonant-cavity arrangement provides a beam waist between fold mirror 22 and external mirror 20. Optically-nonlinear crystal 32 is located at a position which coincides with the minimum diameter of the beam waist. The beam waist is about 50  $\mu\text{m}$  in diameter at the  $1/e^2$  points. In this example, an output of about 50.0 mW at a wavelength of about 488 nm is obtained.

It should be noted here that an IC-doubled optically-pumped semiconductor laser in accordance with the present invention is not limited to use with materials of the surface-emitting semiconductor multilayer structure exemplified above. Any surface-emitting semiconductor multilayer active layer structure may be used, including, but not limited to, InGaAs/GaAs, AlGaAs/GaAs, InGaAsP/GaAs and InGaN/Al<sub>2</sub>O<sub>3</sub> (indium gallium nitride/aluminum oxide) lasers. These provide fundamental wavelengths in ranges, respectively, of about 850 to 1100 nm; 700 to 850 nm; 620 to 700 nm; and 425 to 550 nm. Frequency-doubling in accordance with the present invention can thus provide output wavelengths ranging from the green into the ultraviolet portion of the electromagnetic spectrum. Those skilled in the art will recognize that in the foregoing active layer structure designations, the compound to the left of the stroke represents the active layer material, and the compound to the right of the stroke represents the substrate on which the

WO 00/25399

PCT/US99/22960

13

semiconductor layer structure is epitaxially grown.

5 An IC-doubled optically-pumped semiconductor laser in accordance with the present invention is not limited to the folded resonant-cavity arrangement of FIG. 1. Those skilled in the art will recognize other resonant-cavity arrangements without further illustration which may be utilized in the present invention the present invention. Examples of alternate resonant-cavity arrangements are set forth below

10 Referring to FIG. 2, another embodiment 11 of an optically-pumped IC-doubled, surface-emitting, semiconductor laser in accordance with the present invention is depicted. Here, a laser resonant-cavity 21 is terminated by a plane external mirror 20 coated for high reflectivity at the fundamental wavelength and the harmonic wavelength, and a concave external mirror 22 coated for maximum reflectivity at the fundamental wavelength. Axis 26 of resonant-cavity 23 is folded by Bragg-mirror portion 14 of semiconductor multilayer structure 12. Pump-radiation is provided to gain portion 16 of semiconductor multilayer structure 12 as described above with reference to laser 10.

25 A wavelength-selective element 34 and an optically-nonlinear crystal 32 are included in arms 21B and 21A respectively of laser resonant-cavity 21. Frequency-doubled radiation is reflected out of resonant-cavity 21 by a beamsplitter 25 which is coated for high reflectivity at the harmonic wavelength and high transmission at the fundamental wavelength.

30 Using the semiconductor multilayer structure as

WO 00/25399

PCT/US99/22960

14

a fold mirror as discussed above may be used advantageously to provide additional power in configurations of laser in accordance with the present invention, by folding a resonator two or  
5 more times, (in a "Z", "W" or generally zig-zag fashion) using two or more semiconductor multilayer structures 12 (each separately, optically-pumped) as fold mirrors. From the description provided above, such configurations will be apparent to those  
10 skilled in the art without further detailed description or illustration.

Referring now to FIG. 3, yet another embodiment 13 of an optically-pumped, IC-doubled, vertical cavity laser in accordance with the present  
15 invention is depicted. Laser 13 includes a straight resonant-cavity 13 terminated by Bragg-mirror portion 14 of semiconductor multilayer structure 12 and a concave mirror 22, which is coated for high reflectivity at the fundamental wavelength and high  
20 transmission at the harmonic wavelength, to allow output of frequency-doubled radiation. Resonant-cavity 25 includes an optically-nonlinear crystal 32 and a wavelength-selective element 34, functioning as described above with reference to lasers 10 and  
25 11. Pump-radiation is provided to gain portion 16 of semiconductor multilayer structure 12, as described above with reference to lasers 10 and 11.

Laser 13 is clearly simpler in configuration than above-described lasers 10 and 11, but has a  
30 significant disadvantage by comparison in that frequency-doubled radiation generated by (and travelling in the same direction as) fundamental radiation traversing optically-nonlinear crystal 32 in a direction toward semiconductor multilayer



WO 00/25399

PCT/US99/22960

15

structure 12 is essentially entirely lost by  
absorption in the semiconductor multilayer  
structure, which is essentially one-hundred percent  
for the harmonic wavelength. Lasers 10 and 11 are  
5 configured, among other reasons, to avoid loss of  
harmonic radiation in the semiconductor layer  
structure.

As noted above, inclusion of a wavelength-  
selective element 34 in an external-cavity  
10 optically-pumped external-resonator surface-emitting  
semiconductor laser is useful in itself, i.e., even  
in the absence of an intracavity optically-nonlinear  
crystal, as it can provide a laser of a constant  
desired frequency, tolerable of the limitations  
15 inherent in semiconductor process control. It  
should also be noted that a separate wavelength-  
selective element may be omitted if at least one of  
mirrors 20, 22, or 23 is provided by a highly-  
selective bandpass-filter such as a high-finesse  
20 etalon or the like, used in a reflective mode. In  
this case the mirror itself may be designated the  
wavelength-selective element in the context of  
selecting a particular frequency from a gain-  
bandwidth.

25 While IC frequency-converted optically-pumped  
semiconductor lasers in accordance with the present  
invention have been described above as IC frequency-  
doubled lasers, this should not be construed as  
limiting the present invention. Those skilled in  
30 the art will recognize without further detailed  
description or illustration that principles of the  
invention are equally applicable for converting to  
higher harmonic frequencies by the addition of a one  
or more additional intracavity optically-nonlinear

WO 00/25399

PCT/US99/22960

16

crystals in the resonant-cavity. This may be done, for example, to double the frequency of already-frequency-doubled radiation thereby providing fourth-harmonic radiation, or to mix fundamental and  
5 second-harmonic radiation to provide third harmonic radiation.

In an IC frequency-converted optically-pumped semiconductor laser in accordance with the present invention an optically-nonlinear crystal 32 may also  
10 be selected and arranged for providing a parametric mixing process and optical parametric oscillation. A parametric mixing process in the non-linear crystal provides optical gain by converting pump-radiation at a fundamental frequency  $\omega_{\text{pump}}$  to light at  
15 optical output (converted) frequencies  $\omega_{\text{signal}}$  (signal-light or signal-frequency) and  $\omega_{\text{idler}}$  (idler-frequency). These frequencies have a non-integer relationship with each other and designation of which output frequency is signal-light is arbitrary.

20 An optical resonant-cavity provides feedback of amplified signal-light which leads to sustained oscillation or resonating of the signal-light, and the production of usable signal-light output. As is  
25 well-known in the art, the signal-frequency (and corresponding idler-frequency) may be continuously tuned over a range of frequencies. Tuning may be effected, for example, by adjusting the angle of the optically-nonlinear crystal with respect to the pump  
30 beam. One preferred optically-nonlinear crystal material for providing parametric mixing is beta barium borate ( $\beta$ -BaB<sub>2</sub>O<sub>4</sub> or BBO).

FIG. 4 depicts still another embodiment 15 of an IC frequency-converted optically-pumped

WO 00/25399

PCT/US99/22960

17

semiconductor laser in accordance with the present invention, wherein optically-nonlinear crystal 32 is arranged for optical parametric oscillation. Laser 15 includes a straight laser resonant-cavity 25,  
5 including a wavelength-selective element 34 and an optically-nonlinear crystal 32, and is optically pumped as described above for laser 13 of FIG. 3.

Optical parametric oscillation here is achieved in a so-called non-collinearly pumped arrangement  
10 for which a separate resonant-cavity 39 is provided. Resonant-cavity 39 has a resonator axis 41 inclined at an angle  $\alpha$  to resonator axis 26 of resonator 25, and is terminated by mirrors (reflectors) 40 and 42. Angle is somewhat exaggerated in FIG. 5 for  
15 convenience of illustration. Mirror 40 is highly reflective at the converted-frequency (signal-light wavelength). Mirror 40 is partially reflective and partially transmissive at the signal-light wavelength and serves as an outcoupling mirror for  
20 signal-light from resonant-cavity 39.

Optical parametric oscillation is also possible in so-called collinear pumped arrangements wherein signal-light and pump-light oscillate through the optically-nonlinear crystal generally along a common  
25 axis. FIG. 5 depicts such an laser 17. Laser 17 includes a straight laser resonant-cavity 25, including a wavelength-selective element 34 and an optically-nonlinear crystal 32, and is optically pumped as described above for laser 13 of FIG. 3.  
30 Also included in resonator 25 is a beamsplitter element 37 coated for high reflectivity at the signal-light wavelength and high transmission at the fundamental wavelength. Cooperative with beamsplitter 37 and mirror 22, which is coated for

WO 00/25399

PCT/US99/22960

18

high reflectivity at both the signal-light and  
fundamental wavelengths, a mirror 52 coated for high  
reflectivity at the signal-light wavelength forms an  
OPO resonator 50. OPO resonator 50 has an axis 56  
5 which is collinear with axis 26 of resonator 25 in  
optically-nonlinear crystal 32.

Embodiments of lasers in accordance with the  
present invention discussed above all include a  
wavelength-selective element for forcing single-mode  
10 operation and preventing wavelength hopping. In  
certain applications, wherein beam-quality, single-  
mode operation, or noise are  
not important, the wavelength-selective element may  
simply be omitted.

15 The present invention has been described and  
depicted in terms of a preferred and other  
embodiments. The invention is not limited, however,  
to the embodiments described and depicted. Rather,  
the invention is defined by the claims appended  
20 hereto.

WO 00/25399

PCT/US99/22960

19

WHAT IS CLAIMED IS:

1. A laser, comprising:

a semiconductor multilayer  
surface-emitting gain-structure (16), said  
5 gain-structure including a plurality of active  
layers spaced-apart by spacer layers;

a laser-resonator having a resonator axis  
and being terminated by first and second mirrors  
(14,20), said laser-resonator configured to include  
10 said gain-structure;

a pump-radiation source (24) arranged to  
deliver pump-radiation to said gain-structure for  
generating laser-radiation in said laser-resonator;  
and

15 a wavelength-selective device (34) located  
in said laser-resonator for selecting a frequency of  
said laser-radiation within a gain bandwidth  
characteristic of the composition of said  
gain-structure.

20 2. The laser of claim 1, wherein said wavelength-  
selective device is selected from the group of  
wavelength-selective devices consisting of a  
birefringent-filter, an etalon, and a prism.

25 3. The laser of claim 1, wherein said laser-  
resonator is configured as a straight resonator with  
said first mirror (20) spaced-apart from said  
gain-structure and wherein said second mirror (14)  
is a Bragg-mirror surmounted by said gain-structure.

30 4. The laser of claim 1, wherein said laser-  
resonator is configured as a folded resonator with  
said first and second mirrors being spaced-apart

WO 00/25399

PCT/US99/22960

20

from said gain-structure and wherein said gain-structure surmounts a Bragg-mirror, said Bragg-mirror serving as a fold mirror for folding said resonator axis.

5        5. The laser of any of claims 1-4, further including  
an optically-nonlinear crystal (32) located in said  
laser-resonator and arranged to convert said  
selected frequency of laser-radiation to light of at  
least one different frequency, thereby providing  
10       frequency-converted radiation.

6. The laser of any of claims 1-5, wherein said  
laser-resonator and components therein are arranged  
such that the laser operates in a single axial mode.

15       7. The laser of any of claims 1-6, wherein said  
pump-radiation source includes a diode-laser (28).

8. A laser, comprising:

              a semiconductor multilayer  
surface-emitting gain-structure (16), said  
gain-structure including a plurality of active  
20       layers spaced-apart by spacer layers;  
              a first laser-resonator having a first  
resonator axis and being terminated by first and  
second mirrors (14,20), said first laser-resonator  
configured to include said gain-structure;  
25       a pump-radiation source (24) arranged to  
deliver pump-radiation to said gain-structure for  
generating laser-radiation having a fundamental  
frequency in said first laser-resonator; and

              a first optically-nonlinear crystal (32)

WO 00/25399

PCT/US99/22960

21

located in said first laser-resonator and arranged to convert said laser-radiation to light of at least one different frequency, thereby providing frequency-converted radiation.

5        9. The laser of claim 8, wherein said first optically-nonlinear crystal is selected and arranged to double said fundamental frequency of said laser radiation whereby said frequency-converted radiation is  
10       second-harmonic radiation.

15       10. The laser of claim 9, wherein said first laser-resonator is folded into first and second portions by a third mirror located between said first and second mirrors, said first portion being between said second and third mirrors and said second portion being between said first and third mirrors, said gain-structure and said first optically-nonlinear crystal being in respectively said first and second portions of said first laser-resonator.

20       11. The laser of any of claims 9 and 10, further including a second optically-nonlinear crystal located in said first laser-resonator and arranged to double the frequency of said second-harmonic radiation, thereby providing fourth-harmonic  
25       radiation.

30       12. The laser of any of claims 9 and 10, further including a second optically-nonlinear crystal located in said first laser-resonator and arranged to mix said second-harmonic radiation with said selected frequency of radiation, thereby providing

WO 00/25399

PCT/US99/22960

22

third-harmonic radiation.

5 13. The laser of any of claims 11 and 12, further including a wavelength-selective device (34) located in said first laser-resonator for selecting said fundamental frequency of laser radiation from a gain-bandwidth characteristic of said gain-structure.

10 14. The laser of claim 9, wherein said first laser-resonator is folded into first and second portions by a third mirror (22) located between said first and second mirrors, said first portion being between second and third mirrors and said second portion being between said first and third mirrors, said  
15 gain-structure and said first optically-nonlinear crystal being in respectively said first and second portions of said first laser-resonator, and a wavelength-selective device being located in said  
20 first portion of said first laser-resonator for selecting said fundamental frequency of laser radiation from a gain-bandwidth characteristic of said gain-structure.

25 15. The laser of claim 14, further including a second optically-nonlinear crystal located in said second portion of said first laser-resonator and arranged to double the frequency of said second-harmonic radiation, thereby providing fourth-harmonic radiation.

30 16. The laser of claim 14, further including a second optically-nonlinear crystal located in said first laser-resonator and arranged to mix said



WO 00/25399

PCT/US99/22960

23

second-harmonic radiation with said selected frequency of radiation, thereby providing third-harmonic radiation.

5 17. The laser of claim 14, wherein said third mirror is transparent to said second-harmonic radiation and serves as an output coupling mirror therefor.

10 18. The laser of any of claims 8-17, wherein said first optically-nonlinear crystal is a crystal of a material selected from the group of optically-nonlinear materials consisting of LBO, KNbO<sub>3</sub>, KTP and BBO.

19. The laser of claim 18, wherein said first optically-nonlinear crystal is an LBO crystal.

15 20. The laser of claim 18, wherein said optically-nonlinear crystal is a BBO crystal.

20 21. The laser of any of claims 8-18, wherein said active layers of said gain-structure are layers of a material selected from the group of active layer materials consisting of InGaAs, AlGaAs, InGaAsP, and InGaN.

22. The laser of claim 21, wherein said frequency-converted radiation has a wavelength in a region of the electromagnetic spectrum ranging from the green portion thereof to the ultraviolet portion thereof.

25 23. The laser of claim 22, wherein said frequency-converted radiation has a wavelength in the ultraviolet portion of the electromagnetic spectrum.

WO 00/25399

PCT/US99/22960

24

24. The laser of claim 22, wherein said frequency-converted radiation has a wavelength in the green portion of the electromagnetic spectrum.

5 25. The laser of any of claims 8-18 wherein said active layers of said gain-structure are layers of a material selected from the group of active layer materials consisting of InGaAs, AlGaAs, and InGaAsP and said laser-radiation has a wavelength in a range between about 620 and 1100 nanometers.

10 26. The laser of any of claims 8-18, 21 and 25, wherein said first laser-resonator and components therein are arranged such that the laser operates in a single axial mode.

15 27. The laser of any of claims 8-18, 21, 25 and 26 wherein said pump-radiation source includes a diode-laser.

20 28. The laser of claim 8, wherein said optically-nonlinear crystal is selected and arranged to convert said fundamental frequency of laser-radiation into first and second different frequencies one thereof being selected for output as said frequency-converted light.

25 29. The laser of claim 8, further including a second laser-resonator having a second resonator axis and being configured to cause oscillation of said frequency-converted light through said optically-nonlinear crystal.

WO 00/25399

PCT/US99/22960

25

30. The laser of claim 29, wherein said first and second resonator axes are collinear.

5 31. The oscillator of claim 29, wherein said first and second resonator axes are non-collinear.

10 32. The laser of claim 8, wherein said active layers of said gain-structure are layers of InGaAs; wherein said second mirror is a Bragg-mirror surmounted by said gain-structure; wherein said first laser-resonator is folded into first and second portions by a third mirror located between said first and second mirrors, said first portion being between said second and third mirrors and said second portion being between said first and third mirrors, said gain-structure and said first optically-nonlinear crystal being in respectively said first and second portions of said first laser-resonator; wherein a birefringent-filter is located in said first portion of said first laser-resonator and arranged to select a wavelength of said laser-radiation of about 976 nm; and wherein said first optically-nonlinear crystal is arranged to double the frequency of said selected wavelength of laser-radiation, thereby providing frequency-converted radiation having a wavelength of about 488 nm.

30 33. The laser of claim 32, wherein said third mirror is transparent to said frequency-converted radiation and serves to deliver said frequency-converted radiation from said laser-resonator.

WO 00/25399

PCT/US99/22960

26

34. The laser of any of claims 32 and 33, wherein said pump- radiation source includes a diode-laser.

35. A method of generating light having a preselected wavelength falling within the range of 550nm to 310nm or 275nm to 215nm comprising the steps of:

(a) fabricating a semiconductor multilayer surface-emitting gain-structure (16), said gain-structure including a plurality of active layers, said active-layers having a characteristic laser-radiation emission wavelength falling within the region of 1100nm to 620nm or 550 to 425nm, said active-layers being selected such that said characteristic laser-radiation emission wavelength thereof is one of twice, three-times or four- times the preselected wavelength;

(b) arranging said gain-structure in a laser-resonator (14,20)

(c) positioning a first optically-nonlinear element (32) within the laser-resonator;

(d) optically pumping said gain-structure with a diode-laser (28) located outside the laser-resonator and having an output matched to an absorption in said gain-portion of said semiconductor multilayer structure, thereby causing laser-radiation having said characteristic emission wavelength to circulate in said laser-resonator through said first optically- nonlinear element, and whereby said laser-radiation is frequency doubled by said first optically-nonlinear element thereby generating second-harmonic light having a wavelength one-half of said characteristic emission-wavelength; and

WO 00/25399

PCT/US99/22960

27

(e) if the preselected wavelength is the wavelength of said second-harmonic light, delivering said second-harmonic light from said laser-resonator.

5        36. The method of claim 35, wherein said  
characteristic laser-radiation emission wavelength  
is three-times the preselected wavelength and the  
method further includes the steps of (f) positioning  
10       a second optically-nonlinear element in said laser  
resonator such that said laser-radiation and said  
second-harmonic light are mixed therein, thereby  
generating third-harmonic light having a wavelength  
one-third of said characteristic emission-  
15       wavelength; and (g) delivering said third-harmonic  
light from said laser resonator.

37. The method of claim 35, wherein said  
characteristic laser-radiation emission wavelength  
is four-times the preselected wavelength and the  
method further includes the steps of (f) positioning  
20       a second optically-nonlinear element in said laser  
resonator such that said second-harmonic light is  
frequency doubled therein, thereby generating  
fourth-harmonic light having a wavelength one-  
quarter of said characteristic emission-wavelength;  
25       and (g) delivering said fourth-harmonic light from  
the laser resonator.

38. The method of any of claims 35-37, further  
including the step of (h) positioning a wavelength-  
selective device within said laser-resonator for  
30       maintaining said circulating laser-radiation at said  
characteristic emission wavelength.

WO 00/25399

PCT/US99/22960

28

39. The method of any of claims 35-38, wherein said  
active layers are layers of a material selected from  
the group of active-layer materials consisting of  
InGaAs, AlGaAs, InGaAsP and InGaN, said active-layer  
5 materials having characteristic output wavelengths  
falling within the region of 1100nm to 850nm, 850nm  
to 700nm, 700nm to 620nm and 550 to 425nm  
respectively.

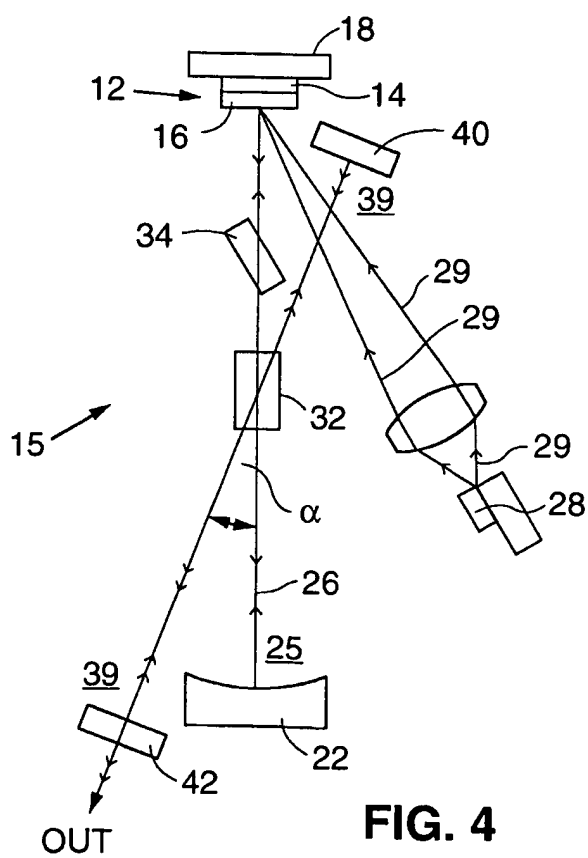
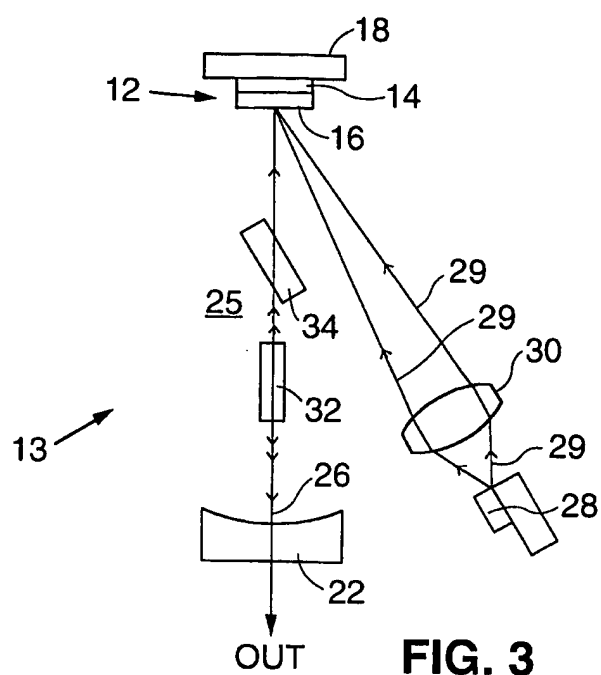
1/3



WO 00/25399

PCT/US99/22960

2/3

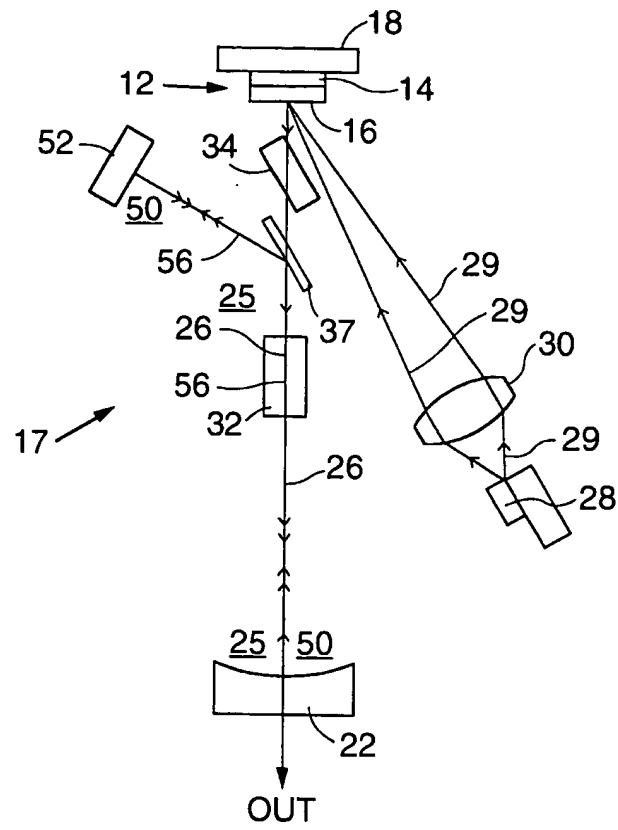




**WO 00/25399**

**PCT/US99/22960**

3/3



**FIG. 5**

## INTERNATIONAL SEARCH REPORT

International Application No <b>PCT/US 99/22960</b>		
<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7    H01S5/183    H01S5/14    H01S3/094    H01S3/109    H01S3/108		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7    H01S		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	SANDUSKY J V ET AL: "A CW EXTERNAL-CAVITY SURFACE-EMITTING LASER" IEEE PHOTONICS TECHNOLOGY LETTERS, US, IEEE INC. NEW YORK, vol. 8, no. 3, page 313-315 XP000582808 ISSN: 1041-1135 the whole document	1-3, 8, 21, 25, 26, 32, 35, 39
X	WO 95 25366 A (MICRACOR INC ; MOORADIAN ARAM (US); KUZNETSOV MARK E (US)) 21 September 1995 (1995-09-21)	8, 9, 18, 21-28, 35, 39
A	page 2, line 25 - page 4, line 12 page 6, line 19 - page 7, line 13 page 9, line 9 - page 11, line 14 page 12, line 4 - page 16, line 10; figures 1-3, 5, 6	1, 3, 5-7
--- -/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.		
<input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents		
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		
"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "S" document member of the same patent family		
Date of the actual completion of the international search  <b>17 January 2000</b>		Date of mailing of the international search report  <b>24/01/2000</b>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <b>Stang, I</b>

# INTERNATIONAL SEARCH REPORT

		Int. Patent Application No PCT/US 99/22960
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>WANG-HUA XIANG ET AL: "FEMTOSECOND EXTERNAL-CAVITY SURFACE-EMITTING INGAAS/INP MULTIPLE-QUANTUM-WELL LASER" OPTICS LETTERS,US,OPTICAL SOCIETY OF AMERICA, WASHINGTON, vol. 16, no. 18, page 1394-1396 XP000226943 ISSN: 0146-9592 page 1394, right-hand column, paragraph 2 -page 1395, left-hand column</p>	<p>1,2,4,8, 10,13, 14,21, 25,32, 35,38,39</p>
A	<p>WO 94 15386 A (MICRACOR INC) . 7 July 1994 (1994-07-07) page 7, line 7 -page 8 page 10, line 12 -page 11, line 5; figures 1,2,4,5</p>	<p>1-3,6,7</p>
A	<p>DE 42 28 862 A (ZEISS CARL FA) 3 March 1994 (1994-03-03)</p> <p>column 2, line 17 - line 66 column 4, line 27 - line 52; figures 1,2</p>	<p>4,5, 8-11,14, 15,17, 29,30, 32-35,37</p>

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
PCT/US 99/22960

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9525366 A	21-09-1995	US 5461637 A DE 29521495 U US 5627853 A	24-10-1995 22-05-1997 06-05-1997
WO 9415386 A	07-07-1994	US 5289485 A	22-02-1994
DE 4228862 A	03-03-1994	NONE	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**